## TRANSCRIPT OF PROCEEDINGS

THE MEDIO-	LEGAL	SOCIETY	OF	VICTORIA

## MELBOURNE

## SATURDAY 17 NOVEMBER 2012

"ANYTHING YOU WANTED TO KNOW ABOUT SENTENCING, NOW'S YOUR CHANCE TO ASK!"

PRESENTED BY: The Honourable Justice Curtain

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Facsimile: 9642 5185

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DR LYTHGO: It is my great pleasure to introduce to you the

Honourable Justice Elizabeth Curtain to speak to us. Her

Honour has been a Judge of the Supreme Court of Victoria

since 2006, and prior to that a Judge of the County Court

since 1993. She is the Deputy Principal Judge of the

Criminal Division of the Supreme Court and a judicial

member of the Adult Parole Board.

Justice Curtain was previously the alternative

Chairman of the Youth Parole Board and the Youth

Residential Board, and is also formerly the Deputy

Chairman of the Racing Appeals Tribunal, a member of the

Motor Accidents Board. Prior to her appointment as a

Judge, she was a crown prosecutor for six years and she is
also a past President of this Society.

When Her Honour was first appointed to the County

Court she was sent on circuit to Mildura. She arrived at

the airport to be mistaken for the new flight attendant

who hadn't turned up and had to fight off attempts to

throw her into uniform and send her to man the teapots.

She then arrived at Mildura and the mistaken identity lesson continued. Her Associate was an older dignified military gentleman. A New South Wales District Court Judge who was also sitting at Mildura was very keen to meet the newly appointed Judge from Victoria and warmly greeted the dignified military gentleman in the way that chaps do, leaving Her Honour behind presumably to deal with the luggage.

1 But these times are long past and she was recently spotted talking to Prince Charles and the Duchess of 2 3 Cornwall. Protocol decrees that private conversations with the Royal Family remain private but I think we can 4 assume that she was telling them everything they ever 5 needed to know about sentencing. So after that trial run 6 7 I invite her now to speak to us. JUSTICE CURTAIN: Thank you, Margaret, for that very fine 8 introduction and may I say what an honour it is to be 9 10 introduced to the Society by you, Margaret. Your late 11 husband, Justice Geoffrey Flatman, was a man who was 12 greatly admired. He was a very fine criminal advocate, he was a very excellent Director of Public Prosecutions and 13 his untimely passing robbed the State of Victoria of what 14 would have been a very fine jurist. 15 Thank you members of the Society for allowing me to 16 discuss with you the exercise of the sentencing discretion 17 which is one of the most public and often criticised 18 aspects of a Judge's work, and yet perhaps the most 19 20 complex and least understood; and I am here referring to 21 the work of Magistrates and Judges of the County and 22 Supreme Courts whose duty it is to sentence offenders.

It may surprise you to know that in the year 2010/2011, 126,000 persons were sentenced by the Magistrates Courts in Victoria alone, and 2,006 people were sentenced in the County Courts and Supreme Courts in that same year. That is, over one and a half thousand sentences per week, 300 sentences a day.

In 2009 and 2010, 26 people were sentenced for murder, 18 for manslaughter and 15 for culpable driving and I cite those examples because they are often the type

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of sentences that attract debate and criticism. But the enormity of these numbers I hope puts the debate about inadequacy of sentencing and consequent diminution of confidence in the administration of criminal justice into some perspective.

The second point I wish to make regards the transparency of the sentencing process. Every sentence is handed down in the court room, a public venue, in the presence of the accused, the victim, the victim's family, the media and anyone and everyone who wishes to attend. Court rooms are open to the public. The time and place and name of the matter is published in the law list and on the Court's website. The Judge's sentencing remarks are transcribed and are available to the public via the website and the library. They are made available to the media shortly after the sentence is imposed, and they are reported to varying degrees in newspapers and broadcast on radio and television.

In some instances, presently at the Judge's discretion, the handing down of a sentence is streamed live as it occurs so that anyone remote from the Court but with access to the Internet can listen to a sentence as it is being handed down. Indeed, in certain cases video links have been established in regional centres so that citizens in those areas who are interested in a particular case can attend and observe the process so that the very physical act of imposing a sentence is not only a transparent one but it is accessible to all.

You will appreciate, of course, that a sentence is imposed following upon a plea of guilty, or a verdict of guilty returned by a jury, or a finding of guilt by the

Magistrate. What then follows is referred to as a plea in mitigation where the community represented in the higher Courts by counsel for the Crown and by a Police Prosecutor in the Magistrates Court. All aspects of the offending and of matters personal to the offender are litigated during the course of that plea which might take anywhere from an hour to a couple of days in the higher Courts, and a succinct number of minutes in the Magistrate's Court.

In the County and Supreme Courts a Judge will inevitably adjourn the case to enable time for reflection. Although in the Magistrate's Court the pleas are generally considerably shorter and the dispositions more readily decided upon. But in the County and Supreme Courts the Judge's sentencing remarks cover comprehensively the matters which are taken into account, both in aggravation and mitigation, and the reasons for doing so are articulated by the sentencing Judge.

So the act of sentencing is a comprehensive one and necessarily explains the Judge's reasons for arriving at the particular disposition. So it is that the process of reasoning is exposed to the public and subject to public examination and thereby permitting any error in the process of sentencing reasoning to be readily apparent and consequently subject to appeal. So also if the sentence is regarded as manifestly inadequate by the Crown who on behalf of the community may appeal it and, conversely, if regarded as manifestly excessive the offender can appeal.

The act of fixing upon the appropriate sentence is an exercise of the Judge's sentencing discretion and because it is a discretion reasonable judicial minds may vary as to the appropriate sentence. For this reason

there is no such thing as a right sentence or the one correct sentence. In sentencing a Judge does not fix upon a sentence arbitrarily, vindictively or capriciously. The sentence imposed is as a result of what is referred to as the instinctive synthesis. That is where a Judge identifies all of the facts that are relevant to the sentence, discusses the significance of each factor and makes a decision as to the appropriate sentence given all the facts of the case, and it's at the end of that process that the Judge then determines what is the appropriate disposition.

Sentencing is not treated as an arithmetical exercise. It would be wrong according to law and contrary to principle to simply fix upon a figure and then add or subtract depending upon matters which are favourable or unfavourable to an offender. To do so does not take account that there are many conflicting and contradictory elements which bear upon the sentencing of an offender.

As the High Court observed in Veen v. The Queen (No. 2), "Sentencing is not a purely logical exercise, and the troublesome nature of the sentencing discretion arises in large measure from unavoidable difficulty in giving weight to each of the purposes of punishment. The purposes of criminal punishment are various: protection of society, deterrence of the offender and of others who might be tempted to offend, retribution and reform. The purposes overlap and none of them can be considered in isolation from the others when determining what is an appropriate sentence in a particular case. They are guideposts to the appropriate sentence but sometimes" the Court said "they point in different directions."

The criminal justice system must be systematically fair and this requires a reasonable consistency in sentencing. But consistency in sentencing does not require numerical equivalents, what is required is consistency of application of relevant legal principles, the treatment of like cases alike and different cases differently.

The instinctive synthesis is guided and informed by the provisions of the Sentencing Act and common law principles. The Act provides amongst it purposes to promote the consistency of approach in the sentencing of offenders, and to that end section 51 provides that the only purposes for which a sentence may be imposed are to punish the offender to an extent and in a manner which is just in all the circumstances to deter the offender and others from committing offences of the same or similar character, to provide for conditions conducive to rehabilitation, to manifest the denunciation of the conduct and to protect the community from the offender and a combination of two or more of those purposes.

Pursuant to section 52, the sentencing Judge must also take into account the maximum penalty for the offence, current sentencing practices, the nature and gravity of the offence, the offender's culpability and degree of responsibility for the offence, whether the crime was motivated by hatred or prejudice, the impact of the offence on any victim, the personal circumstances of any victim of the offence, any injury, loss or damage resulting from the offence, whether the offender pleaded guilty, the offender's previous character and the presence of any aggravating and mitigating factors. A court must

not impose a sentence that is more severe than that which is necessary to achieve the purpose or purposes for which the sentence is imposed, and this is referred to as the principle of parsimony which explicitly applies to sentences of imprisonment.

An offender falls to be sentenced in respect of an offence which he or she has been convicted and no other, and there are a hierarchy of offences beginning in ascending order with a bond without conviction, then a bond with conviction, a fine, a community corrections order, a drug treatment order, a sentence of imprisonment suspended and finally a sentence of imprisonment actually to be served which is always regarded as the disposition of last resort.

The Sentencing Act provides that a particular disposition may only be imposed if the purpose cannot be achieved by imposing the disposition immediately below it in the hierarchy of dispositions. So it is that in sentencing every case depends upon its own facts and circumstances, and no two cases are like.

There are a number of fundamental considerations which will always be present in the sentencing process. These are: the maximum penalty for the offence, the nature and gravity of the offence committed, whether the offender has pleaded guilty and has expressed remorse, his prospects for rehabilitation and the impact of the offending on the victim.

The maximum penalty is Parliament's clearest intention of the seriousness of the offence. A sentencing Judge is always required to give careful attention to the statutory maximum. Parliament has legislated for it and

it invites comparison between the worst possible case and the case before the Judge, and the maximum penalty taken and balanced with all other relevant factors provides the yardstick for where the offending conduct sits. But maximum penalties are indeed rarely applied and this is so because it is to be applied in respect of what is regarded as the worst example of that conduct likely to be encountered in ordinary practice, and it is always possible to countenance a worst case, but having said that, it is not rare for a sentence of life imprisonment to be imposed in respect of murder.

The Judge, in fixing a sentence, must have regard to the nature and gravity of the offence and where it fits in the spectrum of offences of that kind. For example, although all murders involve the loss of life and the Courts must protect the sanctity of life and impose a salutary and condign punishment in respect of its unlawful taking, it is possible nonetheless to categorise cases of murder as to their seriousness, examples of which are: pre-meditated killings as distinct from spontaneous killings, killings which may be said to be an attack on government authority and indeed society itself, for example, the Russell Street bombing, and the execution of police officers.

Execution-style contract killings are treated as very serious because the killer may be taken to have no conscience, no sense of remorse and is very dangerous to society. Thrill killings or sadistic killings, relationship killings which involve a breach of trust - for example, the murder of a child by their parent - all would fall into the category of serious examples of the

crime of murder; whereas a spontaneous and impulsive act, perhaps one fatal act of stabbing in the heat of the moment, may be regarded as a less serious example of the offence.

Factors which may also serve to aggravate the seriousness of the offence are its location. If it occurs in the sanctuary of the victim's home or in a public place where ordinary members of the community are at risk of being endangered and are entitled to expect to be able to go about their business in safety; the manner in which this murder was effected may also denote its seriousness. If it is a particularly callous brutal murder, if it involves defilement or mutilation of a deceased's body, it if it involves the disposal of the body, or indeed if the location of the body has never been disclosed, if the victim was subjected to brutal treatment, such as torture, rape and the like prior to the murder being effected these are all factors which would impact upon the assessment of the seriousness of what is a serious offence.

So it is that the Judge must seek to categorise the nature and gravity of the offence and the offender's responsibility for it. That is where the case fits in the spectrum of offences of that kind. The Judge must also take into account current sentencing practices which include sentencing statistics, that is, penalties which have been imposed in previous cases for these are obviously of limited efficacy because bare statistics do not tell you why a particular sentence was imposed.

Another fundamental consideration is whether an offender has pleaded guilty. Although an offender is not to be sentenced to a greater sentence because they've

pleaded not guilty and because they are not to be penalised for standing their trial, nonetheless an offender who pleads guilty is entitled to a discount on the sentence to be imposed and the earlier the plea is entered the greater the discount.

Section 6AAA of the Sentencing Act obliges the sentencing Judge to quantify how much discount the prisoner has received and to tell the prisoner. This is a matter of public policy because an offender who pleads guilty, irrespective of the strength of the case that he or she faces, by pleading guilty saves the community the cost of a trial and facilitates the administration of justice because Court time is not taken up litigating the cases and other cases in which issues may be more appropriately litigated can be brought to trial sooner.

A plea of guilty saves the witnesses and indeed the victims or the victims' families the ordeal of a trial. It obviates the need for those persons to go into the witness box and be subjected to cross-examination and perhaps more importantly having to re-live the ordeal of the offending behaviour. It is not to be underestimated that a plea of guilty brings certainty of outcome to the proceedings.

Whether an offender has expressed remorse and indeed contrition is relevant, not only so as to provide some appeasement to the victim but also impacts upon an offender's likely prospects for rehabilitation. It is also indicative of empathy for the victim and insight into the consequences and impact of their offending conducting. Of course, the fact that an offender has demonstrated no remorse is also a relevant consideration. A guilty plea

may be taken though as an indication of remorse, and remorse should be distinguished as far as possible from self-pity.

Another fundamental aspect of the sentencing process is of course the victim's voice in the court room. Very often victims are present or their families are, and surviving victims are supported by the Victims Support Agency. They are entitled to make Victim Impact Statements which are statutory declarations, and they are assisted in that task by that Agency.

The statements are subsequently tendered in evidence and are read and considered by the Judge. They may be read to the Court by the prosecutor or by the victim themselves or a person nominated by the victim. It is not uncommon for victims — particularly in murder trials, the victims being the surviving family members — to go into the witness box and read from their Victim Impact Statements. In this way they are able to confront the offender in a public place and publicly state, and have it brought home to the offender at least in some way but no doubt just to some small degree only, the impact that his or her offending has had upon the victim and their families.

You can appreciate that these can often be very powerful, poignant and heartrending statements, but it is very important in the sentencing process because after all in a sense all crime is personal.

So to recap, there are many and varied factors which are taken into account in sentencing. They will include but are not limited to the nature and gravity of the offence committed and matters which go in aggravation of

it, whether the offender has pleaded guilty and the stage of the proceedings when he or she did so, whether they cooperated with the police in the investigation, whether they made a full and frank admission in the record of interview, whether they cooperated in the running of the trial, whether the offender has expressed remorse and contrition for his conduct, displayed insight and victim empathy. The Court also takes into account the age of the offender, the offender's personal circumstances and antecedents including prior convictions, whether the offender was suffering from any serious psychiatric illness short of insanity, or intellectual disability, or psychological disorder and, of course, the offender's prospects for rehabilitation.

The Court will also take into account the effect on the victim, the victims' families, the nature and seriousness and resolution of any injuries suffered by the victim and, in the case of murder, of course, the effect of that is apparent enough. But it is also relevant of course, therefore, to take into account the way the victim died, if the victim suffered a particularly horrific death or died in brutal circumstances or in the sanctity of their home, or killed by a person whose duty it was to love and protect them, such as a parent or spouse.

However, even after taking into account the subjective features of the offender and all of the other matters which are relevant to the sentencing, the sentence imposed must nonetheless reflect the objective seriousness of the offence and be proportionate to the criminality involved.

Of course, it is not uncommon for a Judge to have to

1	sentence an offender for more than one offence. Sentences
2	are very often imposed in respect of any number of
3	offences and by operation of law those sentences are
4	served concurrently unless ultimately otherwise directed.
5	But what is achieved ultimately is referred to as
6	the total effective sentence which is a head sentence, and
7	then a non-parole period will be fixed where appropriate
8	unless the Court considers the nature of the offence or
9	past history of the offender makes the fixing of such a
10	period inappropriate. That minimum term is not the period
11	at the end of which the prisoner is released, it is a
12	period before the expiration of which, having regard to
13	the interests of justice, the prisoner cannot be released.
14	To Members of the Society, to see how this task
15	might be performed so that you now having been informed of
16	a number of the considerations that a sentencing Judge
17	must take into account in arriving at the appropriate
18	sentence, I will invite you now to participate in a short
19	interactive program that is available to you on the
20	Sentence Advisory Council website and my Associate, Kate
21	Hamilton, will assist us in that task because you can
22	readily appreciate that Kate's of a generation where
23	technology is a second language to her.

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